

# **A Probabilistic Gas Patch Path Prediction Approach for Airborne Gas Source Localization in Non-Uniform Wind Fields**

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**Abstract** - In this paper, we show that a micro unmanned aerial vehicle (UAV) equipped with commercially available gas sensors can address environmental monitoring and gas source localization (GSL) tasks. To account for the challenges of gas sensing under real-world conditions, we present a probabilistic approach to GSL that is based on a particle filter (PF). Simulation and real-world experiments demonstrate the suitability of this algorithm for micro UAV platforms.

**Keywords** - autonomous micro UAV, chemical and wind sensing, gas source localization, particle filter.

## I. INTRODUCTION

In order to perform GSL tasks in real-world scenarios, gas sensors are mounted on mobile platforms and exposed to a highly dynamic environment. Besides the drawbacks in current sensing technologies (partial selectivity, slow recovery times, response drift)<sup>1</sup>, gas sensing in outdoor environments poses several challenges, such as turbulent gas dispersion, non-uniform wind flows, fluctuating environmental conditions and, in the case of gas sensing with quadcopter-based micro UAVs, plume disruptions induced by the rotors. To account for these challenges, robust GSL algorithms have to be developed. In this work, we present a probabilistic GSL approach based on a PF. The approach was first introduced in Ref. 2 for plume tracking on a gas-sensitive micro UAV. This paper extends Ref. 2 by presenting a thorough performance evaluation of the PF in terms of source localization accuracy. Furthermore, the algorithm is tested and analyzed with two different exploration strategies: plume tracking (as in Ref. 2) and predefined sweeping trajectories. In order to quantify the non-uniformity of natural wind flows, we additionally present an experiment where data was collected during several hours with two anemometers placed in an open field.

This paper is structured as follows, we first show in Sec. II the non-uniformity of the wind field. Then, we introduce in Sec. III the GSL algorithm based on a PF. Next, we present the gas-sensitive micro UAV that is used in this work (Sec. IV). Finally, we evaluate the performance of the PF-based algorithm in simulations and real-world experiments (Secs. V and VI), and draw conclusions (Sec. VII).

## II. NON-UNIFORMITY OF THE WIND FIELD

The GSL problem can be simplified by assuming a uniform wind field. This simplification allows to infer the paths traveled by the gas patches using wind and concentration measurements collected along the exploration path. Li et al. reported in Ref. 3 that a time-varying wind field can be regarded as roughly uniform within a circular domain around the robot, when the airflow is not *too weak* (above 0.2 m/s) and the radius of this domain is not *too large* (less than the distance traveled by the airflow in 10 s). Here, an experiment over a time period of 83min with two ultrasonic anemometers (uSonic-3 Scientific, Metek GmbH, Germany) set to a sampling frequency of 1 Hz was performed to investigate to which degree the assumption made by Li and co-authors holds. The anemometers were placed in a distance of approx. 2 m to each other at a height of approx. 1.35 m (Fig. 1).

Our experiment shows that the term "roughly uniform wind field" has to be considered with reasonable care. The Pearson coefficient  $r$  for the wind speed ( $r = 0.67$ ) and the circular-circular correlation index  $\rho_{cc}$  for directional data ( $\rho_{cc} = 0.77$ ) show a relatively strong correlation of the wind data in the environment considered. At the same time, however, the two anemometers exhibit strong instantaneous differences in the measured values up to 2.5 m/s (wind speed) and 179° (wind direction). More experiments are needed especially to generalize to a larger class of environments.

## III. PARTICLE FILTER-BASED GAS SOURCE LOCALIZATION

The presented PF-based GSL algorithm uses gas and wind measurements to reason about the trajectory of a gas patch since it was released by the gas source. Non-uniform wind fields are accounted for by considering a patch path envelope (PPE) that models the likelihood of trajectories along which a sensed gas patch may have moved to the sensor (Fig. 2). The source is considered to be found (declared), if the location estimate, represented by the particles of the PF, remains within a small region for a defined number of iterations. The key advantage of the proposed approach is that it does not make any strong assumption about the uniformity of the wind field.

## A. SENSOR DATA PREPROCESSING

1) GAS SENSOR: To decide whether the robot was within the plume or not, a binary concentration measure  $z_t$  with an adaptive threshold  $\bar{c}_t$  as proposed by Li et al.<sup>3</sup> was used. As proposed in Ref. 3, the parameter  $\gamma$  of the adaptive threshold  $\bar{c}_t$  is set to 0.5 during all experiments to respond correctly in time to all gas-detection events.

2) WIND SENSOR: At each iteration  $t$ , the averaged wind direction  $-\bar{\theta}_t$  and the circular wind direction variance  $S_\theta$  are computed from a set of single wind measurements taken at the current measuring position. The circular variance is used to consider the non-regularities of the wind flow direction in the construction process of the PPE.

## B. CONSTRUCTION OF THE PPE

The PPE describes the envelope of the most probable area the gas patch has moved through and is updated based on the new location of the robot and the new measurements of the local wind. The opening angle of the PPE depends on the degree of stability of the wind direction. Stable wind conditions result in small opening angles, whereas unstable and changing wind conditions result in large opening angles. In this way, the assumption of a uniform wind field is relaxed. However, some level of wind uniformity is assumed in the *update* step of the PF, where, e.g., the gas source location is assumed to be in the upwind direction based on the local wind measurements during a gas-detection event (see Sec. III.B – particle classification).

To consider the measurement radius of the micro UAV due to the rotor movement the first segment of the PPE is modeled as a simple triangle with its right angle in downwind direction given by the averaged wind measurements. Finally, the output of this stage is a polygon as shown in Fig. 2 delimiting the PPE  $C_t$ .

## C. PF-BASED GSL ALGORITHM

Algorithm 1 provides a formal description of the core function of the suggested PF-based GSL algorithm. The input is the particle set  $\mathcal{X}_{t-1}$  with weights  $\Omega_{t-1}$ , along with the most recent calculated PPE  $C_t$  (Sec. III.B) and the most recent binary concentration measurement  $z_t$  (Sec. III.A).

**Line 1** implements the PF *prediction* step. As the location of a static gas source is of interest only, the particle sets  $\mathcal{X}_t$  and  $\mathcal{X}_{t-1}$  are set to be identical.

**Line 2** implements the measurement *update*. The new importance weights  $\bar{\Omega}_t$  are computed based on Eq. 1 that considers the old importance weights  $\Omega_{t-1}$ , the relative position of a particle with respect to the PPE  $C_t$  and line  $s$  (orthogonal to the averaged wind direction), and the binary concentration measurement  $z_t$ . The particles are classified in one of the following three classes: (a) particles located *inside* the PPE, (b) particles located *outside* the PPE in upwind direction\*, and (c) particles located *outside* the PPE in downwind direction\* (\*with respect to line  $s$ ).

$$\omega_t^{[i]} = \begin{cases} \omega_{t-1}^{[i]} & \forall x_t \in (a) \wedge z_t = 1 \\ \alpha \cdot \frac{\theta_{c_t}}{180^\circ} \cdot \omega_{t-1}^{[i]} & \forall x_t \in (b) \wedge z_t = 1 \\ \alpha^2 \cdot \frac{\theta_{c_t}}{180^\circ} \cdot \omega_{t-1}^{[i]} & \forall x_t \in (c) \wedge z_t = 1 \\ \beta^2 \cdot \frac{\theta_{c_t}}{180^\circ} \cdot \omega_{t-1}^{[i]} & \forall x_t \in (a) \wedge z_t = 0 \\ \beta \cdot \omega_{t-1}^{[i]} & \forall x_t \in (b) \wedge z_t = 0 \\ \omega_{t-1}^{[i]} & \forall x_t \in (c) \wedge z_t = 0 \end{cases} \quad (1)$$

where  $\alpha \in (0, 1)$  and  $\beta \in (0, 1)$  are meta-parameters, which adjust the contribution of the old importance weight  $\omega_{t-1}^{[i]}$  of particle  $x_t^{[i]}$  at iteration  $t$  to the new importance weight  $\omega_t^{[i]}$ , and  $\theta_{c_t} \in (0, 180]^\circ$  is the opening angle of the first segment of the PPE. A further penalization of the particles is implemented by the square of  $\alpha$  and  $\beta$ , respectively. In general, a smaller value of  $\alpha$  and  $\beta$  leads to a higher penalization

than a larger value (e.g., a value of 1 means no penalization). The term  $\theta_{c_t}/180^\circ$  in Eq. 1 penalizes particles in dependency of the stability of the wind<sup>2</sup>.

**Line 3** normalizes the importance weights  $\bar{\Omega}_t$  so that the total weight is 1 after each step.

**Line 4** checks, whether the effective sample size  $\hat{N}_{eff}$  defined by Liu et al.<sup>4</sup> has dropped under a predefined threshold  $\gamma \cdot N$  ( $\gamma \in [0, 1]$ ) and *resampling* has to be performed or not<sup>4</sup>. If *resampling* is not necessary, the temporary sets  $\bar{\mathcal{X}}_t$  and  $\bar{\Omega}_t$  are the result sets (lines 5 to 6).

**Lines 8 to 21** implement the *resampling* step of the PF, which is applied to deal with the weight degeneracy problem of PF algorithms. Here, the new particle set  $\mathcal{X}_t$  is built. A particle  $\bar{x}_t^{[k]}$  is drawn with probability  $\delta$  uniformly from the area  $F_{l,w} \cap S_t$ , if  $z_t = 1$ , and from the search area  $F_{l,w} \setminus C_t$ , if  $z_t = 0$  (see lines 11 to 16), where  $S_t$  defines the area in upwind direction of the micro UAV and  $F_{l,w}$  is the search area (Fig. 2). A particle  $\bar{x}_t^{[k]}$  is drawn with probability  $1 - \delta$  from  $\bar{\mathcal{X}}_t$  according to its importance  $\bar{\omega}_t^{[k]}$  (line 18). Finally, the importance weights of all re-sampled particles are set to  $1/N$  (line 20).

In the beginning, each particle  $x_0^{[i]}$  is initialized with the weight  $\omega_0^{[i]} = 1/N$  and the position  $(x_0^{[i]}, y_0^{[i]})$  drawn uniformly from the search area  $F_{l,w}$ , if no a priori knowledge about the gas source location is given.

## D. ESTIMATION OF THE GAS SOURCE

The particle set  $\mathcal{X}_t$  can be used to estimate the location of the gas source  $\bar{x}_s$ . A simple strategy could be to calculate the weighted mean over all particles. However, observations have shown that the weighted mean is often not a good estimator since it is strongly affected by outliers (which in addition occur frequently as a consequence of resampling).

A more sophisticated strategy involves analyzing the particle clusters that have evolved over time. The proposed strategy searches the particle  $x_t^{[k]}$  with the highest number of neighbors within a certain radius  $\varepsilon$ , i.e., the  $k$  for which

$$\{x_t^{[j]} | \forall j \in [1, N] \wedge k \neq j: |x_t^{[k]} - x_t^{[j]}| \leq \varepsilon\}$$

is maximized. In the current implementation,  $\varepsilon$  is set to 0.5 m. This particle  $x_t^{[k]}$  is called the Maximum Neighbors Estimate (MNE) and is used as the gas source location estimate.

## IV. ROBOTIC PLATFORM

The AirRobot AR100-B quadcopter-based micro UAV (AirRobot GmbH & Co. KG, Germany) has a diameter of 1 m and is driven by four brushless electric motors. The micro UAV was modified to incorporate gas-sensitive devices. Since wind information is of high importance for gas-sensitive robots, the wind vector is estimated by fusing the micro UAV's on-board sensors to compute the parameters of the wind triangle<sup>5</sup>. A more detailed description of the gas-sensitive micro UAV can be found in Ref. 5.

## V. SIMULATION EXPERIMENTS

### A. EXPERIMENT SETUP

In order to evaluate the performance of the PF-based GSL algorithm, we optimized the parameters  $\alpha \in [0.1, 1.0]$  and  $\beta \in [0.1, 1.0]$  in simulations with respect to the average localization error and the success rate for datasets collected with two different control algorithms: the pseudo gradient algorithm<sup>2</sup> and sweeping. The localization error is defined as the distance between the true gas source location and the MNE. The success rate is the ratio of successful localizations with respect to the total number of performed experiments, in which the localization error is less or equal than 1.5 m.

As a simulation environment, we use the filament-based gas dispersion model presented in Ref. 6. The micro UAV and its sensing mechanisms were modeled using data obtained from laboratory and real-world experiments as explained in Ref. 2. Thus, the proposed

algorithm is optimized especially for the robotic platform used and its measuring capabilities and characteristics. A detailed description of the parameters of the experiment setup can be found in Ref. 2.

The parameters  $\gamma$  and  $\delta$  (both related to the PF-based GSL algorithm) were set heuristically to 0.5 and 0.1, respectively. The number of particles was set to 1,000. For each control algorithm, each experiment was repeated 100 times.

## B. EXPERIMENTAL RESULTS

It was found out that the average localization error decreases strongly with increasing  $\beta$ . On the other hand, the average localization error increases with increasing  $\alpha$ . Thus, it seems to be beneficial to choose a small value for  $\alpha$  and a large value for  $\beta$  (i.e.,  $\alpha < \beta$ ). This means that, in case of a gas-detection event, the particles which are located *outside* the PPE receive a higher penalization than, in case of a non-detection event, the particles which are located in upwind direction of line  $s$ . This position-dependent penalization of the particles allows them to accumulate at the location of the gas source and its close proximity.

A good parameter set for the gradient-based algorithm which minimizes the average localization error and maximizes the success rate is found to be  $(\alpha, \beta) = (0.2, 0.8)$ , i.e., that the success rate drops if  $\alpha$  gets too small or  $\beta$  gets too large. The average error is only  $0.96 \text{ m} \pm 1.01 \text{ m}$  with a success rate of 86%. A good parameter set for sweeping is  $(\alpha, \beta) = (0.4, 0.9)$ . The average localization error here is  $0.79 \text{ m} \pm 0.46$  with a success rate of 95%.

A possible explanation for the different optimal meta-parameters of each control algorithm can be seen in the number of measurements taken inside the plume. A plume tracking strategy tries to stay within the plume and switches only to sweeping when the plume cannot be reacquired, whereas sweeping is based on a predefined trajectory.

To validate the determined meta-parameter sets for each control algorithm, a total of six different source positions were tested. Fig. 3 shows the corresponding results after the last measurement point for both control algorithms. The success rates using the gradient-based algorithm are in the range of 76 to 89%. The average error of successful localizations was similar for all source positions ( $\leq 0.62 \text{ m} \pm 0.37 \text{ m}$ ) except for source number #2 and #3, where this error is slightly higher ( $0.97 \text{ m} \pm 0.31 \text{ m}$  and  $1.11 \text{ m} \pm 0.37 \text{ m}$ ). The success rates using sweeping are in the range of 81 to 92%. The average error of successful localizations was similar for almost all source positions and is  $\leq 0.80 \text{ m} \pm 0.37 \text{ m}$ . An exception is source number #2, where this error is slightly higher ( $\leq 0.98 \text{ m} \pm 0.36 \text{ m}$ ).

The results are good considering the slow response of the modeled gas sensor and the relatively high GPS positioning error of the micro UAV ( $\pm 1.17 \text{ m}$ ). In the current state of the algorithm, it seems that sweeping is a better strategy to use with the PF-based GSL algorithm as the gradient-based control algorithm due to the above mentioned reasons.

However, this approach has to be validated in further experiments showing that the presented PF-based GSL algorithm is capable of working reliably in a variety of non-uniform wind fields.

## VI. REAL-WORLD EXPERIMENTS

### A. EXPERIMENT SETUP

The real-world experiments were carried out in two outdoor environments. An electronic nose was used for all experiments performed with methane (metal oxide –  $CH_4$ ) and for three trials performed with carbon dioxide (electrochemical –  $CO_2$ ). For the remaining trials, a payload based on a commercially available gas detector was used (infrared –  $CO_2$ ). A gas cylinder connected via a small tube to a fan (in order to spread the analyte away) was used as the gas source during all experiments and placed within the experiment area. The size of the experiment area varied from  $5 \times 5 \text{ m}^2$  to  $20 \times 16 \text{ m}^2$ . To be more specific, the plume tracking trials were performed over an experiment area of  $20 \times 16 \text{ m}^2$ . Sweeping, on the other hand, was performed over the following experiment area sizes:  $5 \times 5 \text{ m}^2$  (3 trials),  $6 \times 6 \text{ m}^2$  (2 trials),  $12 \times 6 \text{ m}^2$  (6 trials), and  $20 \times 14 \text{ m}^2$  (2 trials). A detailed description of the experiment setup can be found in Ref. 2.

As in the simulation experiments, the parameters were set to  $\gamma = 0.5$ ,  $\delta = 0.1$ , and  $N = 1,000$ . The localization error and the success rate are defined in the same way as in the simulation experiments.

## B. EXPERIMENT RESULT

A total number of 19 trials were performed using plume tracking (surge-cast<sup>7</sup>, zigzag<sup>8</sup>, and pseudo gradient<sup>3</sup>) and predefined sweeping trajectories. The PF-based GSL algorithm was able to locate the gas source with a success rate of 83.3% (5 of 6 trials succeeded) using plume tracking and 92.3% (12 of 13 trials succeeded) using predefined sweeping trajectories. The average error of successful localizations is  $0.69 \text{ m} \pm 0.35 \text{ m}$  and  $0.79 \text{ m} \pm 0.38 \text{ m}$ , respectively. This result is very good considering, e.g., the GPS error of the micro UAV ( $\pm 1.17 \text{ m}$ ) and is in line with the simulation results. However, it seems that in the real-world experiments sweeping is not necessarily the better option regarding the localization accuracy.

Unfortunately, the small number of experiments does not permit to obtain strong statistical significance of the performance of the algorithm. However, the results indicate the potential of this approach for localizing gas emission sources and its suitability for a gas-sensitive micro UAV.

## VII. CONCLUSIONS

This work presents a PF-based GSL algorithm for a gas-sensitive micro UAV to estimate the location of a single gas source that is independent of the exploration strategy used. In simulations, we optimize for two exploration strategies the meta-parameters of the proposed algorithm for a gas-sensitive micro UAV. Next, we use the best meta-parameters sets found in simulation for the real-world experiments with this gas-sensitive micro UAV. The results indicate the potential of the algorithm for accurately localizing a single gas emission source emitting a known chemical compound in realistic environments with a micro UAV. Furthermore, the results suggest that the different gas sensor technologies used did not have a major impact on the success rate and the localization error. In general, a good correlation between the results from simulation and real-world experiments was found for both exploration strategies. Furthermore, we present an outdoor experiment that shows the non-uniformity of the wind in the environment presented (an open field, with sparse vegetation, and surrounded by trees). In this case, the assumption of a roughly uniform wind field by Li et al.<sup>3</sup> does not hold. Further experiments need to be performed in different environments for a better characterization and classification of wind fields.

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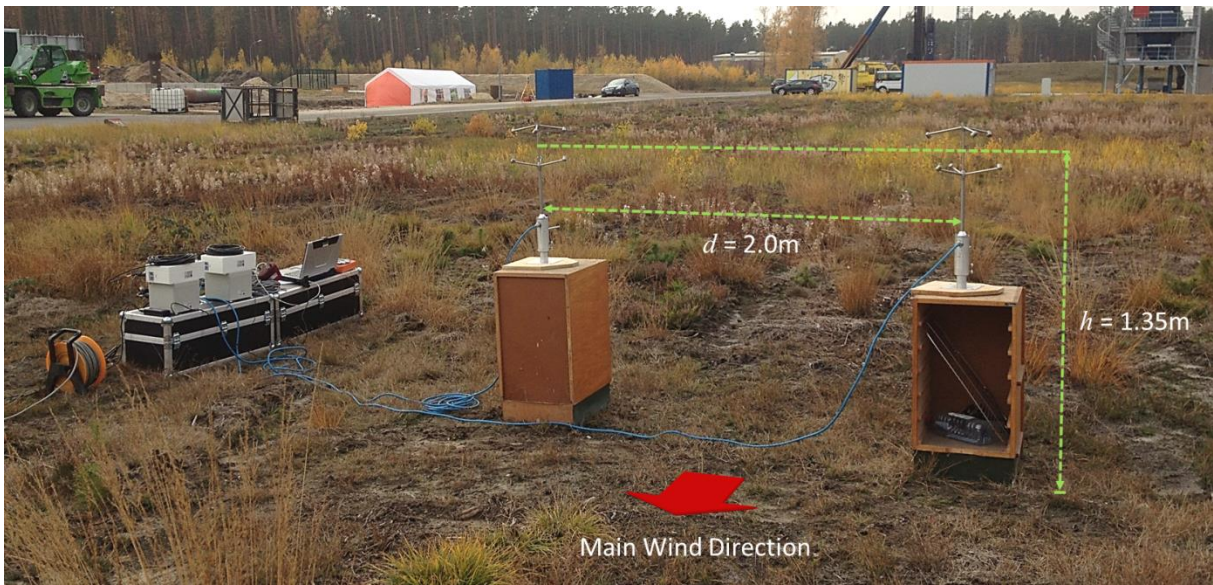


Figure 1: Experiment setup to ascertain to which degree the assumption of a uniform wind field holds in a realistic scenario.

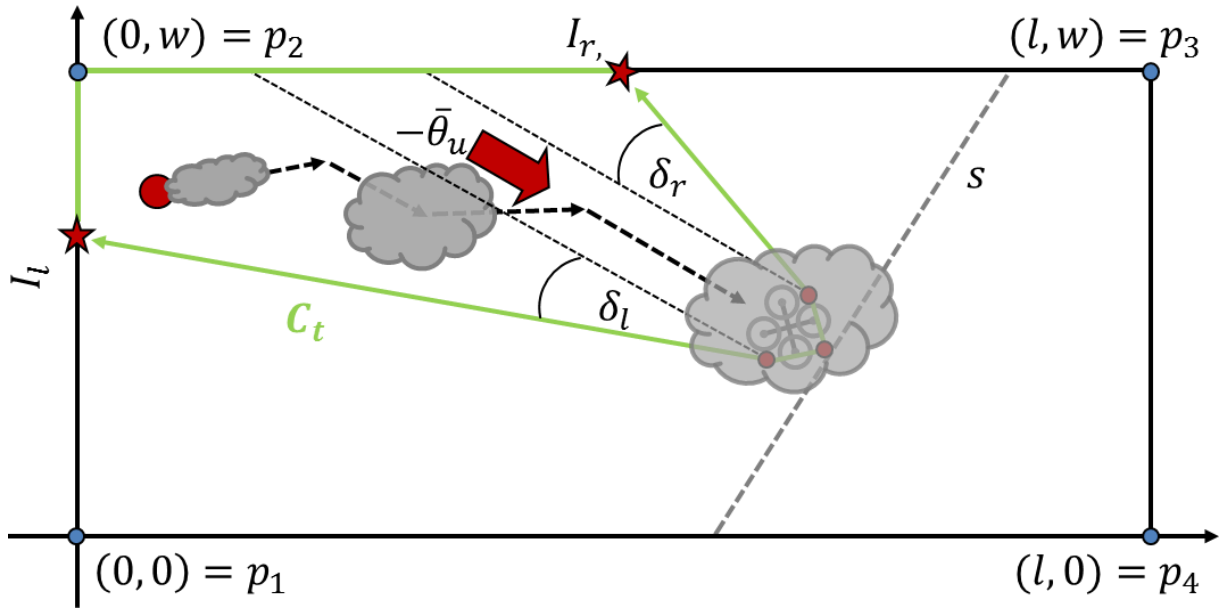
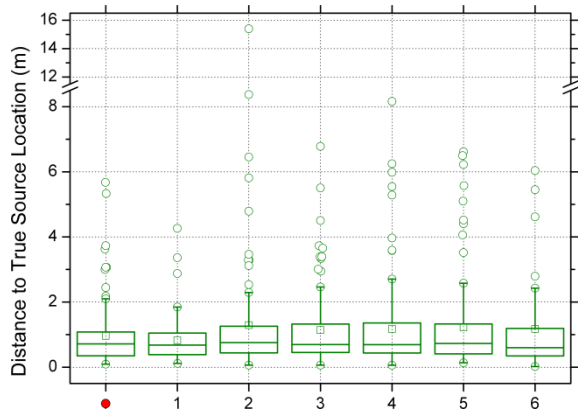
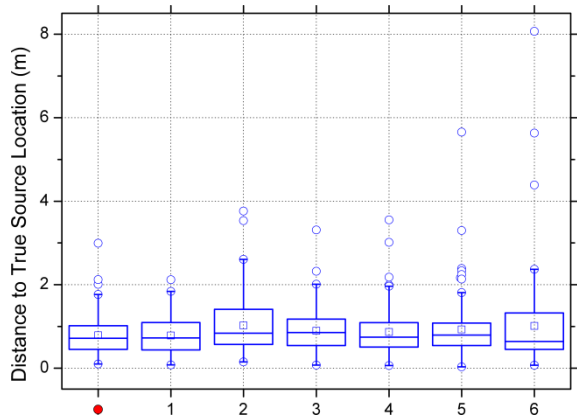


Figure 1: Construction of the PPE  $C_t$  with opening angle  $\theta_{c_t} = \delta_l + \delta_r$  for the micro UAV in real-world environments with non-uniform wind fields. The PPE  $C_t$  is denoted by the green line. The source location is denoted by the large red dot. The average wind direction is given by  $-\bar{\theta}_u$ . The search area  $F_{l,w}$  (where  $l$  is the length, and  $w$  is the width of the search area) is defined by the four points  $p_1$  to  $p_4$  and is separated by line  $s$  in an upwind and a downwind part. The stars denote the intersection points  $I_l$  and  $I_r$  of the PPE with the search area.



(a) Gradient-based:  $\alpha = 0.2$  and  $\beta = 0.2$



(b) Sweeping:  $\alpha = 0.4$  and  $\beta = 0.9$

**Figure 3: Box-plot of the gas source location estimate (distance to true source location) for the seven source locations using (a) the gradient-based algorithm and (b) sweeping. The red dot denotes the source used for the parameter study. The box shows the lower/upper quartile and the line denotes the median. The mean is denoted by the small  $\square$ . The whiskers represent data lying outside the box such that the lowest value is still within  $1.5 \times IQR$  (interquartile range) of the lower quartile and the highest value is still within  $1.5 \times IQR$  of the upper quartile. The O stands for outliers with values larger than  $1.5 \times IQR$ .**

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**Algorithm 1** Core function of the PF-based GSL algorithm.

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**Require:**  $\mathcal{X}_{t-1}$ ,  $\Omega_{t-1}$ ,  $C_t$ , and  $z_t$ .

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1:  $\bar{\mathcal{X}}_t = \mathcal{X}_{t-1}$ 
2:  $\bar{\Omega}_t = f(\bar{\mathcal{X}}_t, \Omega_{t-1}, C_t, z_t)$ 
3: Normalize( $\bar{\Omega}_t$ )
4: if  $\tilde{N}_{eff} \geq \gamma \cdot N$  then
5:    $\mathcal{X}_t = \bar{\mathcal{X}}_t$ 
6:    $\Omega_t = \bar{\Omega}_t$ 
7: else
8:    $\mathcal{X}_t = \Omega_t = \emptyset$ 
9:   for  $i = 1$  to  $N$  do
10:     $j = rand(1, 100)$ 
11:    if  $j \leq \delta \cdot 100$  then
12:      if  $z_t = 1$  then
13:         $\mathcal{X}_t = \mathcal{X}_t \cup \{ \bar{x}_t^{[k]} \text{ drawn uniformly from } F_{t,w} \cap S_t \}$ 
14:      else
15:         $\mathcal{X}_t = \mathcal{X}_t \cup \{ \bar{x}_t^{[k]} \text{ drawn uniformly from } F_{t,w} \setminus C_t \}$ 
16:      end if
17:    else
18:       $\mathcal{X}_t = \mathcal{X}_t \cup \{ \bar{x}_t^{[k]} \text{ drawn from } \bar{\mathcal{X}}_t \text{ with probability } \propto \bar{\omega}_t^{[k]} \}$ 
19:    end if
20:     $\Omega_t = \Omega_t \cup \{ \omega_t^{[i]} = 1/N \}$ 
21:  end for
22: end if
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